

# ALTERNATIVE INVESTMENTS



## Introduction to WEAS Alternative Investing

Wealth Enhancement Advisory Services (WEAS) offers an alternative investment platform that is designed to reach the broadest audience of accredited clientele<sup>1</sup>. We provide exposure to products across alternative asset classes that, when added at either the individual or portfolio level, may offer potential diversification by desired portfolio outcome, vintage, size, sector, underlying collateral, business lifecycle stage, and investment type.

### Private Equity and VC

FUND NAME	MINIMUM	INVESTMENT LENGTH	MGMT FEE	FUND STRATEGY (Accredited Clientele / Qualified Purchasers)	
747 CAPITAL STUYVESANT FUND VIII	\$250,000	10 years	1.00%	The fund focuses on investing in Small Cap PE buy-out funds with \$350 million or less in AUM. The fund looks to invest in areas where there is an attractive exit market and an opportunity to add operational value.	QP
APOLLO INVESTMENT FUND X LP	\$250,000	10 years	1.50%	The fund seeks to generate attractive risk-adjusted rates of return for its private equity managed funds across market cycles by investing in high-quality assets at value-oriented prices.	QP
CNL STRATEGIC CAPITAL FUND	\$5,000	Quarterly Tender	2.00%	The fund focuses on middle-market businesses with annual revenues between \$15 million and \$250 million and aims to provide long-term appreciation with current income while protecting invested capital.	AC
POMONA INVESTMENT FUND	\$25,000	Quarterly Tender (soft lock for 1 year, approx. 2% exit fee if prior)	2.40%	The Fund's strategy seeks long-term capital appreciation primarily through the purchase of secondary interests in seasoned private equity funds.	AC
PRIVATESHARES FUND	\$2,500	Quarterly Tender	1.90%	The Fund seeks capital appreciation by focusing on investments in late-stage, venture-backed private companies.	AC
VCFA GROUP FUND VII	\$250,000	10 years	1.50%	The fund will focus on investing in mature, high-growth assets with a Value-oriented approach. The fund believes that down markets benefit their strategy, as there are more investment opportunities available at a discount.	QP
CONSTITUTION CAPITAL PARTNERS	\$25,000	Quarterly Redemptions	1.50%	The fund seeks capital appreciation investing through partnership and co-investment strategies in middle market companies and funds located in North America. The fund has approximately 20 partnership fund investments and close to 100 portfolio companies through their co-investment strategy.	AC

### Real Estate

FUND NAME	MINIMUM	INVESTMENT LENGTH	MGMT FEE	FUND STRATEGY (Accredited Clientele / Qualified Purchasers)	
BRIDGE OPPORTUNITY ZONE FUNDS	\$250,000	10 years	2.00%	The fund aims to provide market-rate RE development returns and considerable tax benefits by investing in Qualified Opportunity Zones in high-growth cities, primarily in multifamily development projects.	QP
CANTOR SILVERSTEIN OPPORTUNITY ZONE	\$100,000	11 years	1.75%	The fund constructs a diversified portfolio of commercial real estate properties located in QOZs within U.S. metropolitan areas that display economic drivers, including efficient transit, job creation, and the potential for a live, work and play lifestyle.	AC
JLL INCOME PROPERTY TRUST	\$10,000	Initial one-year lockup period. Daily liquidity is available thereafter (5% max of fund NAV per quarter)	1.25%	The Fund seeks current income and long-term capital appreciation from carefully selected commercial RE investments across multiple property types, geographic regions, and tenant industries.	AC
DWS RREEF	\$100,000	Daily purchase/daily redemption. 2% charge if redeemed within 365 days of initial investment (5% max of fund NAV per quarter)	1.25%	The Fund invests in a diversified portfolio of industrial, office, retail, and apartment properties that are primarily located in major cities across the East and West Coast.	AC

<sup>1</sup>Accredited investor qualification is \$200k annual income or \$1 million net worth (excluding primary residence). Qualified purchaser qualification is \$5M or more in net worth.

FUND NAME	MINIMUM	INVESTMENT LENGTH	MGMT FEE	FUND STRATEGY (Accredited Clientele / Qualified Purchasers)	
VERSUS REAL ASSETS FUND	\$2,500	Quarterly Tender	1.27%	The fund seeks to provide access to institutional private real assets while utilizing a simple interval fund structure to allow for liquidity. The fund's exposure is mainly concentrated within infrastructure equity/debt, farmland, and timberland investments.	AC
BRIDGE NET LEASE FUND	\$100,000	3 years from Fund Inception (7/21) - quarterly liquidity available after 7/2024	1.50%	The fund seeks to acquire attractively priced, mission critical Class A/B industrial assets between \$10-50 million in transaction size across logistically-connected U.S. prime growth markets. These properties are secured by tenants in specialty industrial properties with triple net leases.	QP

## Alternative Income

FUND NAME	MINIMUM	INVESTMENT LENGTH	MGMT FEE	FUND STRATEGY (Accredited Clientele / Qualified Purchasers)	
AG AMERICA	\$500,000	2 years	2.00%	The fund seeks to achieve performance by originating, purchasing, and holding loans secured by agricultural land in the U.S.	QP
APOLLO DEBT SOLUTIONS BDC	\$2,500	Quarterly redemption	1.25%	The Fund focuses on senior secured large corporate direct origination, broadly syndicated loans, and, to a lesser extent, middle market direct lending.	AC
LORD ABBETT CREDIT OPPORTUNITIES FUND	\$5,000	Daily purchase/quarterly redemption	1.50%	The Fund seeks to deliver high current income and total return through a flexible strategy investing across a broad range of global fixed-income sectors.	AC
MORGAN STANLEY ALTERNATIVE INCOME FUND	\$50,000	Monthly purchase/quarterly redemption. Hybrid must do at LPL	0.75%	The fund seeks to invest in a broad range of alternative lending securities that includes consumer, small business, and specialty finance loans. The fund will emphasize current income with interest/income generating assets.	QP
PIMCO FLEXIBLE CREDIT INCOME FUND	\$5,000	Daily purchase/quarterly redemption	2.30%	The fund is a diversified, flexible, and opportunistic credit interval fund. PFLEX seeks attractive risk-adjusted returns and current income from investments across an expansive opportunity set.	AC
VARIANT ALTERNATIVE INCOME FUND	\$50,000	Daily purchase/quarterly redemption	1.85%	The fund offers investors efficient access to a diversified portfolio of unconventional income-generating assets. The Fund invests in niche market opportunities with strong cash flow characteristics and low correlations to public equity and bond markets.	QP

## Hedge Funds

FUND NAME	MINIMUM	INVESTMENT LENGTH	MGMT FEE	FUND STRATEGY (Accredited Clientele / Qualified Purchasers)	
OLD FARM PARTNERS	\$100,000	Quarterly redemptions	0.50%	The fund allocates to long/short equity, global macro and event-driven hedge funds. In addition, up to 25% of the portfolio may be in co-investments. The firm believes this approach to allocating to and co-investing with high-quality managers can enhance returns and lower fees.	QP
TITAN MASTER'S FUND	\$100,000	Quarterly redemptions	0.70%	The fund's objective is to provide consistent, superior capital appreciation by using a multi-manager investment strategy.	QP

## Non-Traditional Investment Offerings

### 1031 Exchange

A real estate investing tool that allows investors to swap out an investment property for another and defer capital gains or losses or capital gains tax that they would otherwise have to pay at the time of sale.

### Structured Notes

A debt security where the return is based on equity indexes, a single equity, a basket of equities, interest rates, commodities, or foreign currencies. The performance of a structured note is linked to the return on an underlying asset, group of assets, or index.

### Separately Managed Accounts (SMAs)

An SMA is a portfolio of individual securities managed on behalf of a client by a professional asset management firm. The client invests in the individual securities, which provides transparency, as well as ownership and control of the underlying securities in the portfolio.

### Option Overlay Strategies (provided by SpiderRock and Parametric)

These are investment strategies that use derivative investment vehicles to obtain, offset or substitute specific portfolio exposures beyond those provided by the underlying portfolio assets. These strategies allow clients to increase or reduce exposure in a fund relative to its actual funded amount.

Diversification and asset allocation do not ensure a profit or guarantee against loss. Alternative investments may not be suitable for all investors and involve special risks such as leveraging the investment, potential adverse market forces, regulatory changes, and illiquidity.